Substitute Form PTO 1390 U.S. Department of Commerce Patent and Trademark Office

## TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. § 371

Attorney Docket Number: 50026/062001

U.S. Application Number:

| INTERNATIONAL APPLICATION NUMBER   |  |  | INTERNATIONAL FILING DATE             | PRIORITY DATE CLAIMED                          |  |  |  |  |
|------------------------------------|--|--|---------------------------------------|--|--|--|--|--|
| PCT/                               | JP2005/004485  |  | March 15, 2005                        | March 16, 2004                                 |  |  |  |  |
| TITLE OF INVENTION: METHOD         |  |  | OF INHIBITING TUMOR PROLIFERATION     |  |  |  |  |  |
| APPLICANTS FOR DO/EO/US: Katsuo St |  | UEISHI, Yoshikazu YONEMITSU, Yas   | unori SHIKADA, Norifumi TSUTSUMI, and |  |  |  |  |  |
|                                    |  | Mamoru I   | HASEGAWA                              |  |  |  |  |  |
| Applic                             | cant herewith submits to the U   | nited State:   | s Designated/Elected Office (DO/EO/L  | JS) the following items and other information: |  |  |  |  |
| 1.                                 | ⊚ This is a FIRST submissio  | ☑ This is a FIRST submission of items concerning a filing under 35 U.S.C. § 371. |                                       |  |  |  |  |  |
| 2.                                 | This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. § 371.  |  |                                       |  |  |  |  |  |
| 3.                                 |  |  |                                       |  |  |  |  |  |
| 4.                                 | ଷ The U.S. has been elected.   |  |                                       |  |  |  |  |  |
| 5.                                 | A copy of the International Application (35 U.S.C. § 371(c)(2)).  a. is transmitted herewith (required only if not transmitted by the International Bureau).  b. has been transmitted by the International Bureau.  c. is not required, as the application was filed with the United States Receiving Office (RO/US).      |  |                                       |  |  |  |  |  |
| 6.                                 | An English language translation of the International Application into English (35 U.S.C. § 371(c)(2)).   |  |                                       |  |  |  |  |  |
|                                    | Ø a. is transmitted herewith.     □ b. has been previously submitted under 35 U.S.C. 154(d)(4).  |  |                                       |  |  |  |  |  |
| 7.                                 | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. § 371(c)(3)).  |  |                                       |  |  |  |  |  |
|                                    | □ a. are transmitted herewith (required only if not transmitted by the International Bureau).  □ b. have been transmitted by the International Bureau.  □ c. have not been made; however, the time limit for making such amendments has NOT expired.  □ d. have not been made and will not be made.                        |  |                                       |  |  |  |  |  |
| 8.                                 | □ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. § 371(c)(3)).  |  |                                       |  |  |  |  |  |
| 9.                                 | □ An oath or declaration of the inventors (35 U.S.C. § 371(c)(4)).   |  |                                       |  |  |  |  |  |
| 10.                                | □ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. §371 (c)(5).  |  |                                       |  |  |  |  |  |
| 11.                                | □ An Information Disclosure Statement under 37 C.F.R. §§ 1.97 and 1.98.  |  |                                       |  |  |  |  |  |
| 12.                                | ☐ An assignment for recording. A separate cover sheet in compliance with 37 C.F.R. §§ 3.28 and 3.31 is included.   |  |                                       |  |  |  |  |  |
| 13.                                | ☑ A preliminary amendment (14 pgs., Replacement Sheet for Figure 11 (1 pg.), Annotated Sheet for Figure 11 (1 pg.)).   |  |                                       |  |  |  |  |  |
| 14.                                | © A substitute specification.  |  |                                       |  |  |  |  |  |
| 15.                                | □ A power of attorney and/or change of address letter.   |  |                                       |  |  |  |  |  |
| 16.                                | □ Request for Deferred Examination.  |  |                                       |  |  |  |  |  |
| 17.                                | □ Application Data Sheet.  | □ Application Data Sheet.  |                                       |  |  |  |  |  |
| 18.                                | © Other items or information: PCT/ISA/202 (1 pg.), PCT/ISA/210 (5 pgs.), PCT/ISA/220 (4 pgs.), PCT/ISA/237 (5 pgs.), PCT/IPEA/401 (4 pgs.), PCT/IPEA/402 (1 pg.), PCT/IPEA/409 (6 pgs.), PCT/IPEA/416 (2 pgs.), PCT/IB/301 (3 pgs.), PCT/IB/304 (1 pg.), PCT/IB/306 (1 pg.), PCT/IB/308 (2 pgs.), and PCT/IB/322 (8 pgs.). |  |                                       |  |  |  |  |  |
| 19.                                | ☐ The following fees are su  | bmitted:   |                                       |  |  |  |  |  |

| Basic National Stage Fee: \$300   | \$300.00 |  |
|---|----------|--|
| National Stage Search Fee   | \$500.00 |  |
| If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4): \$0 |          |  |
| Search fee (37 C.F.R. § 1.445(a)(2)) has been paid on the international application to the USPTO as an International Search Authority: \$100  |          |  |
| International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB: \$400                                       |          |  |
| All other situations: \$500   |          |  |

| National Stage 8   | Examination Fed                                 | \$200.00   |              |  |              |    |
|--|---|--|--------------|--|--------------|----|
| If the written opi<br>examination rep<br>PCT Article 33(1                    | ort prepared by                                 |  |              |  |              |    |
| All other situatio   | ns: \$200                                       | NATION OF PRINCIPLE AND PRINCI |              |  |              |    |
| Surcharge of \$1 oath or declarati C.F.R. § 1.492(h                          | on after the dat                                | \$   |              |  |              |    |
| CLAIMS   | NUMBE   | R FILED  | NUMBER EXTRA | RATE                                   |              |    |
| Total claims   | 19- 20  | =  |              | x \$50                                 | \$0          |    |
| Independent cla  | ims 2-3=  |  |              | x \$200                                | \$0          |    |
| Multiple depend  | Multiple dependent claims (if applicable) + \$3 |  |              |  | \$ 360.00    |    |
| Application Size<br>100 sheets (exc<br>electronic mediu<br>fraction thereof, | luding sequence<br>im). The fee is:             |  |              |  |              |    |
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|  |   |  |              | ************************************** | Amount to be |    |
|  |   |  |              |  | refunded     | \$ |

 a. Please charge my Deposit Account No. 03-2095 in the amount of \$ 1,360.00 to cover the above fees.
 b. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Deposit Account No. 03-2095.

NOTE: Where an appropriate time limit under 37 C.F.R. §§ 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. § 1.137(a) or (b) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

James D. DeCamp, Ph.D. Clark & Elbing LLP 101 Federal Street Boston, MA 02110-2214

Telephone: 617-428-0200 Facsimile: 617-428-7045 Customer No.: 21559

Signature James D. DeCamp, Ph.D. Reg. No. 43, 580